

02/07/02  
JCS79 U.S. PTO

**HAYES SOLOWAY PC**  
130 W. Cushing Street  
Tucson, AZ  
85701 U.S.A.  
TEL 520-882-7623  
FAX 520-882-7643

Docket No.: NEC GNE471  
Date: February 7, 2002

**BOX PATENT APPLICATION**  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

JCS79 U.S. PTO  
10/072194  
02/07/02

Dear Sir:

Transmitted herewith for filing is the patent application of:

**Inventor:** OGAWA  
**For:** TRACK-OFFSET COMPENSATION IN OPTICAL DISK DRIVE

Enclosed are the following:

- ☐ Letter: SUBMISSION OF INCOMPLETE APPLICATION
- ☒ Specification 39 pages; Claims 8 pages; Abstract 1 page
- ☒ Declaration and Power of Attorney
- ☒ Sheet(s) of drawings 11 pages
- ☒ An assignment of the invention to: NEC Corporation
- ☒ A certified copy of Japanese application No. 2001-030526,  
filed February 7, 2001
- ☒ Information Disclosure Statement
- ☒ Preliminary Amendment

☐ Applicant claims small entity status. See 37 CFR 1.27.

Priority is hereby claimed under 35 USC 119 by way of Japanese patent application  
No. 2001-030526 filed February 7, 2001.


The filing fee has been calculated as shown below:

		SMALL ENTITY	LARGE ENTITY
BASIC FEE:		\$370.00	\$740.00
TOTAL CLAIMS:	25 - 20 =	x 9 =	5 x 18 = \$ 90.00
INDEPENDENT CLAIMS:	4 - 3 =	x 42 =	1 x 84 = \$ 84.00
MULT. DEPEND. CLAIMS:		+ 135 =	+ 270 =
TOTAL:		\$	\$ 914.00

☒ Form PTO-2038 authorizing credit card payment in the amount of \$ 954.00 to cover the fees is enclosed.

☒ (\$40.00 Assignment recordal fee is included)

The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 08-1391.

  
Norman P. Soloway  
Attorney of Record  
Reg. No. 24,315

**CERTIFICATE OF EXPRESS MAILING**

"Express Mail" Mailing Label No. ET956859214US Date of Deposit: February 7, 2002

I hereby certify that this paper and the papers listed thereon are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, and is addressed to **BOX PATENT APPLICATION**, Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of person mailing:   
Name of person mailing: Sharon McKniff

**Change Of Attorney Or Agent's Address In Application  
(37 CFR 1.8(a))**

Docket No.  
NEC GNE471

In Re Application Of:

**OGAWA**

Serial No.

Filing Date  
**February 7, 2002**

Examiner

Group Art Unit

Invention:

**TRACK-OFFSET COMPENSATION IN OPTICAL DISK DRIVE**

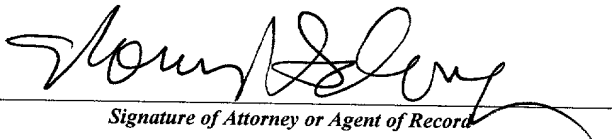
TO THE ASSISTANT COMMISSIONER FOR PATENTS

Please send all correspondence for this application to:

**Norman P. Soloway  
HAYES SOLOWAY P.C.  
130 W. Cushing Street  
Tucson, Arizona 85701**

Please direct all telephone calls to:

**(520) 882-7623**

  
*Signature of Attorney or Agent of Record*

Dated: **February 7, 2002**

**Reg. No. 24,315  
Norman P. Soloway  
HAYES SOLOWAY P.C.  
130 W. Cushing Street  
Tucson, Arizona 85701**

*Registration Number & Address of Attorney or Agent of Record*

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20231.~~

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~~*Typed or Printed Name of Person Mailing Correspondence*~~

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s):

Docket No.

NEC GNE471

Serial No.

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Group Art Unit

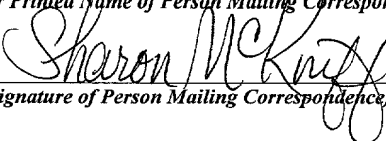
Invention:

**TRACK-OFFSET COMPENSATION IN OPTICAL DISK DRIVE**

I hereby certify that the following correspondence:

**Change of Attorney or Agent's Address in Application (37 CFR 1.8(a))***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

February 7, 2002*(Date)*Sharon McKniff*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*ET956859214US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

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Signature of Person Mailing Sharon McKniff

Name of Person Mailing Sharon McKniff

HAYES SOLOWAY P.C.  
130 W. CUSHING STREET  
TUCSON, ARIZONA 85701

TEL. 520.882.7623  
FAX 520.882.7643